

American National Standard (ANS) Formal Submittal Checklist

PLEASE NOTE: This document is used to transmit the final submittal of a candidate American National Standard to ANSI. A standard should only be submitted to ANSI for approval if all of the appropriate evidence of consensus accompanies it in accordance with the *ANSI Essential Requirements: Due process requirements for American National Standards (ANSI Essential Requirements.)*

*NOTE: Adoptions of international standards require compliance with ANSI's Sales & Exploitation Policy.

Please direct questions to: psa@ansi.org or by fax to the PSA Department at (212) 840-2298.

1. Designation of Standard: **ANSI/NISO Z39.83-2**
Year that should be included in the designation, if other than the year of approval as an ANS: 2008
2. Title of Standard:
NISO Circulation Interchange Part 2: Implementation Profile 1
3. Project Intent (relates to the status of the standard in terms of ANSI only, e.g., any standard that is not an approved ANS, is a new standard):
 - Create new standard
 - *Adopt identical international standard (see ANSI Procedures for the National Adoption of ISO and IEC Standards as ANS)
 - *Adopt modified international standard (see ANSI Procedures for the National Adoption of ISO and IEC Standards as ANS)
 - *AND this adoption revises this current ANS
 - Revise current standard
 - Revise and Redesignate current standard (**We are adding part numbers to the standard designation; the previous two part standard had identical designation on both parts.**)
 - Revise, Redesignate and Consolidate current standard
 - Revise and Partition current standard
 - Reaffirm current standard
 - Reaffirm and Redesignate current standard
 - Supplement to a current standard
 - Withdraw current standard
4. Attach consensus body roster including interest category of each member and vote. (See *ANSI Essential Requirements 4.2.1.1.*) Identify the group that is considered to be the consensus body and whose vote is included in item 10 below: NISO Voting Members.
5. Indicate the total number of unresolved objections to the proposed action on the standard resulting from all public review period(s) only, including ANSI Standards Action 0. Attach evidence of attempted resolution. (See *ANSI Essential Requirements 2.5.*) (Note: do not include unresolved votes of consensus body members here; see item 10 for an accounting of such votes.)
6. Date(s) on which unresolved objections (public review/consensus body) were provided to the consensus body for consideration. Attach evidence of compliance with this requirement (also referred to as "recirculation" or "reconsideration"). (See *ANSI Essential Requirements 2.5.*)
Date(s): _____ Not applicable:
7. Were all unresolved objectors informed of their right to appeal to the standards developer?
Yes No (See *ANSI Essential Requirements 2.5.*) Not Applicable, because: No unresolved objectors.
Attach documentation of written notification of the right to appeal to standards developer.
8. Did any unresolved objectors complete the appeals process available through the standards developer?
(See *ANSI Essential Requirements 2.7.*)
 Yes (Name and contact information for each is attached) No Not Applicable
9. Date of Final Consensus Body Vote (i.e., date the vote was closed): 3 August 2008

10. Evidence of Consensus, i.e., the FINAL consensus body vote tally (See ANSI Essential Requirements 2.6.)

SUMMARY OF CONSENSUS BODY VOTE BY INTEREST CATEGORY

Interest Category	Affirmative(s)	Negative(s)	Negative(s) without comment	Abstention(s)	Not Returned	Total
User	13	0	0	2	10	25
Producer	20	0	0	6	7	33
General Interest	6	0	0	6	6	18
TOTALS	39	0	0	14	23	76

11. State the applicable numerical requirements for consensus as established in your ANSI-accredited procedures:
 Approval requires an affirmative vote by a majority of the Voting Pool and at least two-thirds of those voting. The NISO Office will exclude abstentions in counting the total number of potential votes in the consensus Voting Pool.
 Check here to certify that these requirements have been satisfied.
12. Publication: Publication of an ANS is required within 6 months of the date of its approval as such. To request ANSI to provide publication services, please contact the ANSI Publications Department.
13. Check here if there are statements from patent holders (patent letters of assurance) that have been received regarding the proposed ANS. Please attach a copy of all such statements.
14. **Certification Statement**
Please read and confirm compliance before signing and submitting to ANSI.

I hereby declare the ANSI criteria for approval/withdrawal have been met and that the draft standard was acted on in accordance with section 4.2, Criteria for Approval and Withdrawal of American National Standards of the *ANSI Essential Requirements* and the following criteria and that evidence to this effect is enclosed or otherwise available for inspection:

- That due process requirements were met.
- That the standard is within the scope previously registered with ANSI.
- That any identified significant conflict with another American National Standard was addressed in accordance with the requirements set-forth in the *ANSI Essential Requirements*.
- That other known national standards were examined with regard to harmonization and duplication of content; if duplication exists, there is a compelling need for the standard.
- That objectors have been notified in writing of their right to appeal to the standards developer and that all appeals to the standards developer were completed.
- That any known unresolved objection as defined in the *ANSI Essential Requirements* to the approval/withdrawal of this standard as an American National Standard is documented herein.
- That no substantive changes have been made in the draft standard as listed in *Standards Action*.
- That we agree to comply fully with the *ANSI Patent Policy*.

I agree that the evidence supporting any statement in this submittal or its attachments may be verified at any time through inspection or audit by the American National Standards Institute, either on its own initiative or upon appeal or request by any substantially concerned party. Reasonable requests for specific information that we receive directly from substantially concerned parties will be honored.

If it develops that misstatements of fact have been made in connection with this submittal, I understand that the standard in question is subject to withdrawal with appropriate public notice with reasons therefore. Furthermore, I understand that our organization may be subject to disqualification for a period of time or withdrawal of accreditation for improper submission of a standard to the Board of Standards Review.

Our organization agrees to maintain the American National Standard by reaffirmation, revision, or withdrawal within five years of the date of ANSI approval.

Submitter's Name: Karen Wetzel

Title: Standards Program Manager

Accredited Standards Developer: National Information Standards Organization (NISO)

Address: One North Charles Street, Suite 1905 City: Baltimore State: MD Zip: 21201

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Fax: (410) 685-5278

Signature:

A handwritten signature in black ink, appearing to read 'Karen Wetzel', written over the printed name 'Karen Wetzel'.

Z39.83 Parts 1 2
Ballot ending August 3, 2008

Company	Category	Vote	Comments
3M	Producer	Yes	
AIIM	User		
ARMA International	User		
American Association of Law Libraries	User	Yes	
American Library Association	User	Yes	
American Psychological Association	Producer	Yes	
American Society for Indexing	User		
American Society for Information Science & Technology (ASIS&T)	User	Yes	
Armed Forces Medical Library	User		
Association of Information & Dissemination Centers (ADIDIC)	User		
Association of Jewish Libraries	User		
Association of Research Libraries	User	Yes	
Auto-Graphics, Inc.	Producer	Yes	
Bibliographical Center for Research	Producer	Yes	
BioOne	Producer	Yes	
Book Industry Communication	User		
California Digital Library	User	Yes	X
Cambridge Information Group	Producer		
Checkpoint Systems, Inc.	Producer		
Civica Pty Ltd	Producer		
College Center for Library Automation (CCLA)	User	Yes	
Copyright Clearance Center	General Interest		
Council on Library and Information Resources	General Interest	Yes	
CrossRef	Producer	Yes	
DAISY Consortium	General Interest	Abstain	X
Dow Jones Factiva	Producer	Yes	
EBSCO Information Services	Producer	Yes	
EnvisionWare, Inc.	Producer	Yes	
Ex Libris, Inc.	Producer	Yes	
H. W. Wilson Company	User		
Helsinki University Library	User		
HighWire Press	Producer	Abstain	X
INFLIBNET Centre	User	Yes	X
Index Data	Producer		
Inera Inc.	General Interest		
Infor Library and Information Solutions	Producer	Yes	
Innovative Interfaces, Inc.	Producer	Yes	
International DOI Foundation	General Interest	Abstain	X
Ithaka/JSTOR/ARTstor	Producer		
John Wiley & Sons, Ltd.	Producer	Abstain	X
Johns Hopkins University Press/Sheridan Li...	User	Abstain	X
Library Binding Institute	Producer		
Library of Congress	General Interest	Yes	
Los Alamos National Laboratory	User	Yes	
MINITEX Library Information Network	User	Yes	
Medical Library Association	General Interest		
Modern Language Association (MLA)	User		
Motion Picture Association of America (MPAA)	Producer	Abstain	X
Music Library Association	User	Yes	
National Agricultural Library	General Interest	Yes	
National Archives and Records Administration	General Interest	Abstain	X
National Federation of Advanced Informatio...	General Interest	Yes	
National Library of Medicine	General Interest	Abstain	X
National Security Agency	General Interest	Yes	
New England Journal of Medicine	Producer	Yes	
NexTag, Inc.	General Interest		
OCLC Online Computer Library Center	Producer	Yes	X
Polaris Library Systems	Producer	Yes	
Publishers Licensing Society Ltd.	General Interest		
Recording Industry Association of America	Producer	Abstain	X
Reed Elsevier	Producer	Abstain	X
Ringgold, Inc.	General Interest	Yes	
SAGE Publications	Producer	Yes	
Serials Solutions, Inc.	Producer	Yes	
SirsiDynix	Producer	Yes	
Society for Technical Communication (STC)	User	Yes	
Society of American Archivists	User	Abstain	X
Special Libraries Association (SLA)	User	Yes	
Standards Australia	General Interest	Abstain	X
Swets Information Services	Producer	Abstain	X
The Library Corporation (TLC)	Producer	Yes	
Thomson Reuters	Producer	Yes	
Triangle Research Libraries Network	User	Yes	
U. S. Department of Defense, Defense Techn...	General Interest	Abstain	X
U. S. Government Printing Office	General Interest		
VTLS, Inc.	Producer		

Category Totals		Yes	No	Abstain	No Response
User	25	13	0	2	10
Producer	33	20	0	6	7
General Interest	18	6	0	6	6
Total	76	39	0	14	23